

Benjamin Beck, CFP
Beck Bode Wealth Management, LLC

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Benjamin Beck that supplements the Beck Bode Wealth Management, LLC brochure. You should have received a copy of that brochure. Contact us at 617-209-2224 if you did not receive Beck Bode Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Benjamin Beck (CRD # 4910104) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Benjamin Beck, CFP

Year of Birth: 1978

Formal Education After High School:

- Northeastern University, BS Criminal Justice, 9/1997 - 6/2002

Business Background:

- Boston Retirement Advisors, LLC, Investment Adviser Representative, 01/2016 - Present
- Beck Bode Wealth Management, LLC, Investment Adviser Representative, 12/2015 - Present
- Beck Bode Wealth Management, LLC, Managing Member, 4/2015 - Present
- Private Advisor Group, LLC, Investment Adviser Representative, 02/2013 - 01/2016
- Merrill Lynch, Pierce, Fenner & Smith Incorporated, Registered Representative/Investment Adviser Representative, 10/2005 - 2/2013
- LPL Financial LLC, Registered Representative, 2/2013 - 4/2015
- Bank of America, NA, Client Advisory, 8/2009 - 2/2013

Certifications: **CFP**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 63,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional*

Conduct, to maintain competence and keep up with developments in the financial planning field; and

- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Benjamin Beck has no required disclosures under this item.

Item 4 Other Business Activities

Beck Bode Wealth Management, LLC is a part owner of Boston Retirement Advisors, LLC ("BRA"), a registered investment adviser. James Bode and Benjamin Beck are also investment adviser representatives with BRA. Clients of Beck Bode Wealth Management, LLC are generally not referred to BRA for advisory services, nor are clients of BRA normally referred to Beck Bode Wealth Management, LLC. However, there may be rare occasions where clients of one entity are referred to the other if the services of the other entity appear to better serve the client's, or prospective client's, needs. In such case no referral fees are paid by either entity but Beck Bode Wealth Management, LLC, (and, consequently, James Bode and Benjamin Beck) would have a financial interest in the person becoming a client of the other entity. Consequently, a conflict of interest exists when such a referral is made.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Beck's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Beck Bode Wealth Management, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Beck Bode Wealth Management, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

Benjamin Beck is the designated, Compliance Officer. He and James Bode supervise each other. Mr. Beck can be reached at the telephone number listed on the cover page of this Brochure Supplement.